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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CHRISTINE M. COOPER ET AL

TO BE ASSIGNED 09/681, 902 Serial No.:

Filed:

HEREWITH

6-22-01

For:

METHOD FOR ASSESSING EQUITY ADEQUACY

Attorney Docket No.: FMC 1299 PUS / 200-0884

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)(1)

Box Patent Application Commissioner for Patents United States Patent and Trademark Office Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Form PTO/SB08A are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b)(1).

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

A copy of each reference listed on the attached Form PTO/SB08A is included herewith. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

CHRISTINE M. COOPER ET AL

Date: January 10, 2001

By: DAVID S. BIR

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Attorney/Agent for Applicants

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Substitute for Form 1449A/PTO	Application Number	To Bo Assigned 09/681, 902	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date	Herewith 6-22-01	
STATEMENT BY APPLICANT	First Named Inventor	Christine M. Cooper et al	
(Group Art Unit	Unknown	
July 1 / Aun 1 / August as necessary)	Examiner Name	Unknown	
Sheet RADEMAN of 1	Attorney Docket Number	FMC 1299 PUS / 200-0884	

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.1		JMENT ind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	Date	
Examiner	Considered	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.